

Trade Manage Capital, Inc.

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SEC-Required Report on Routing of Customer Orders For Quarter Ending 2009/09/30

Securities Listed on New York Stock Exchange / Network A Eligible Security

Summary Statistics

Non-directed orders as percentage of total customer orders	99.9 %
Market orders as percentage of total non-directed orders	23.30 %
Limit orders as percentage of total non-directed orders	76.10 %
Other orders as percentage of total non-directed orders	0.60 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BRUT	39.1 %
CGMI	27.9 %
ARCA	8.9 %
TRIM	8.6 %
BELZ	8.5 %

Information Concerning Significant Venues :

1 BRUT

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	13.87 %
Limit orders as percentage of total limit orders	47.11 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

2 CGMI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	52.13 %
Limit orders as percentage of total limit orders	20.77 %
Other orders as percentage of total other orders	1.71 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

3 ARCA

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.03 %
Limit orders as percentage of total limit orders	11.63 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

4 TRIM

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	16.54 %
Limit orders as percentage of total limit orders	5.99 %
Other orders as percentage of total other orders	30.82 %

Material aspects of Relationship with

Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

5 BELZ

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	9.04 %
Limit orders as percentage of total limit orders	8.45 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra is used for 'DOT' access only. Orders are sent via this source directly to the floor of the NYSE/AMEX.

Securities Listed on The NASDAQ Stock Market /

Summary Statistics

Non-directed orders as percentage of total customer orders	99.2 %
Market orders as percentage of total non-directed orders	14.30 %
Limit orders as percentage of total non-directed orders	85.60 %
Other orders as percentage of total non-directed orders	0.10 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BRUT	54.6 %
CGMI	30.3 %

ARCA	7.4 %
NITE	3.6 %
CDRG	1.3 %

Information Concerning Significant Venues :

1 BRUT

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	26.11 %
Limit orders as percentage of total limit orders	59.40 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

2 CGMI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	62.59 %
Limit orders as percentage of total limit orders	24.86 %
Other orders as percentage of total other orders	21.67 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

3 ARCA

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.35 %
Limit orders as percentage of total limit orders	8.58 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

4 NITE

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	5.58 %
Limit orders as percentage of total limit orders	3.20 %
Other orders as percentage of total other orders	33.33 %

Material aspects of Relationship with
Venue:

This Contra is used for OTC orders that trade on the Third Market.

5 CDRG

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	3.79 %
Limit orders as percentage of total limit orders	0.84 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

**Securities Listed on American Stock Exchange or Regional
Exchanges / Network B Eligible Security**

Summary Statistics

Non-directed orders as percentage of total customer orders	99.8 %
Market orders as percentage of total non-directed orders	16.80 %
Limit orders as percentage of total non-directed orders	82.70 %
Other orders as percentage of total non-directed orders	0.50 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BRUT	45.8 %
CGMI	29.3 %
ARCA	13.2 %
TRIM	6.5 %
DTRI	3.2 %

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Information Concerning Significant Venues :

1 BRUT

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	11.02 %
Limit orders as percentage of total limit orders	53.11 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

This may be the case for all market centers which are ECNs.

2 CGMI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	65.56 %
Limit orders as percentage of total limit orders	21.86 %
Other orders as percentage of total other orders	34.38 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

3 ARCA

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	16.00 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.
This may be the case for all market centers which are ECNs.

4 TRIM

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	17.50 %
Limit orders as percentage of total limit orders	4.19 %
Other orders as percentage of total other orders	25.00 %

Material aspects of Relationship with
Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

5 DTRI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	4.17 %
Limit orders as percentage of total limit orders	2.80 %
Other orders as percentage of total other orders	40.63 %

Material aspects of Relationship with
Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

Exchange Listed Options

Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
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Market orders as percentage of total non-directed orders	25.40 %
Limit orders as percentage of total non-directed orders	74.60 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERT	81.7 %
AMEX	18.2 %
DNIT	0.1 %

Information Concerning Significant Venues :

1 PERT

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	58.36 %
Limit orders as percentage of total limit orders	89.73 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

2 AMEX

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	41.63 %
Limit orders as percentage of total limit orders	10.16 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This venue denotes orders sent to the floor of the AMEX where a \$2 Broker may handle the order.

3 DNIT

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	0.10 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra is used for OTC orders that trade on the Third Market.