

# Trade Manage Capital, Inc.

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**SEC-Required Report on Routing of Customer Orders For Quarter Ending 2010/09/30**

## Securities Listed on New York Stock Exchange / Network A Eligible Security

### Summary Statistics

Non-directed orders as percentage of total customer orders	99.8 %
Market orders as percentage of total non-directed orders	20.50 %
Limit orders as percentage of total non-directed orders	79.40 %
Other orders as percentage of total non-directed orders	0.10 %

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

BRUT	42.0 %
CGMI	32.1 %
ARCA	7.4 %
TRIM	5.8 %
BELZ	4.5 %

### Information Concerning Significant Venues :

#### 1 BRUT

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	7.08 %
Limit orders as percentage of total limit orders	51.10 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with  
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

#### 2 CGMI

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	60.88 %
Limit orders as percentage of total limit orders	24.59 %
Other orders as percentage of total other orders	76.92 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

### 3 ARCA

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.04 %
Limit orders as percentage of total limit orders	9.29 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

### 4 TRIM

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	13.38 %
Limit orders as percentage of total limit orders	3.77 %
Other orders as percentage of total other orders	23.08 %

Material aspects of Relationship with

Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

### 5 BELZ

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	3.93 %
Limit orders as percentage of total limit orders	4.71 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra is used for 'DOT' access only. Orders are sent via this source directly to the floor of the NYSE/AMEX.

## Securities Listed on The NASDAQ Stock Market /

### Summary Statistics

Non-directed orders as percentage of total customer orders	99.6 %
Market orders as percentage of total non-directed orders	10.50 %
Limit orders as percentage of total non-directed orders	89.40 %
Other orders as percentage of total non-directed orders	0.10 %

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

BRUT	51.9 %
CGMI	27.5 %

ARCA	10.1 %
NITE	3.0 %
ITGI	2.2 %

**Information Concerning Significant Venues :**

**1 BRUT**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	16.61 %
Limit orders as percentage of total limit orders	56.09 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with  
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

**2 CGMI**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	60.13 %
Limit orders as percentage of total limit orders	23.61 %
Other orders as percentage of total other orders	68.57 %

Material aspects of Relationship with  
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

**3 ARCA**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	0.07 %
Limit orders as percentage of total limit orders	11.25 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with  
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

**4 NITE**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	7.50 %
Limit orders as percentage of total limit orders	2.48 %
Other orders as percentage of total other orders	31.43 %

Material aspects of Relationship with  
Venue:

This Contra is used for OTC orders that trade on the Third Market.

**5 ITGI**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	5.05 %
Limit orders as percentage of total limit orders	1.90 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with  
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

**Securities Listed on American Stock Exchange or Regional  
Exchanges / Network B Eligible Security**

**Summary Statistics**

Non-directed orders as percentage of total customer orders	100.0 %
Market orders as percentage of total non-directed orders	24.60 %
Limit orders as percentage of total non-directed orders	75.30 %
Other orders as percentage of total non-directed orders	0.10 %

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

CGMI	38.9 %
BRUT	37.5 %
ARCA	12.0 %
TRIM	6.4 %
ITGI	1.6 %

1

**Information Concerning Significant Venues :**

**1 CGMI**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	68.46 %
Limit orders as percentage of total limit orders	29.28 %
Other orders as percentage of total other orders	50.00 %

Material aspects of Relationship with  
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

**2 BRUT**

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	8.65 %
Limit orders as percentage of total limit orders	47.01 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.  
This may be the case for all market centers which are ECNs.

**3 ARCA**

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	15.94 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.  
This may be the case for all market centers which are ECNs.

**4 TRIM**

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	14.52 %
Limit orders as percentage of total limit orders	3.77 %
Other orders as percentage of total other orders	50.00 %

Material aspects of Relationship with

Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

**5 ITGI**

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	3.85 %
Limit orders as percentage of total limit orders	0.82 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

## Exchange Listed Options

### Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
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Market orders as percentage of total non-directed orders	27.10 %
Limit orders as percentage of total non-directed orders	72.90 %
Other orders as percentage of total non-directed orders	0.00 %

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

PERT	71.0 %
AMEX	28.4 %
CGMI	0.3 %
ARCA	0.1 %

**Information Concerning Significant Venues :**

**1 PERT**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	42.40 %
Limit orders as percentage of total limit orders	81.70 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with  
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

**2 AMEX**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	56.80 %
Limit orders as percentage of total limit orders	17.86 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with  
Venue:

This venue denotes orders sent to the floor of the AMEX where a \$2 Broker may handle the order.

**3 CGMI**

Types of Orders Routed to  
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	0.45 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

#### 4 ARCA

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.40 %
Limit orders as percentage of total limit orders	0.00 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.